

REPORT ON CORPORATE GOVERNANCE

(First 6 months of 2025)

To:

- State Securities Commission;
- Ho Chi Minh Securities Stock Exchange.

- Name of company : NAM BAY BAY INVESTMENT CORPORATION
- Address of head office : CII TOWER, 152 Dien Bien Phu, Thanh My Tay Ward, Ho Chi Minh City
- Telephone : 028.62577577 Fax: 028.62577755 Email: nbb@nbb.com.vn
- Charter Capital : 1.004.756. 560.000 VND
- Stock symbol : NBB
- Governance Model : Shareholders, Board of Directors, General Director
- The implementation of internal audit: Implemented

I. ACTIVITIES OF GENERAL MEETING OF SHAREHOLDERS:

During the first 6 months of 2025, The Annual General Meeting of Shareholders for the financial year 2024 held on 29/04/2025 approved the Resolution with the following contents:

No.	Resolution/Decision No.	Date	Content
1	29/NQ-ĐHĐCĐ	29/04/2025	1. Approval of the Board of Directors' report on business operations in 2024 and business plan for 2025 2. Approval of Report of the Board of Supervisors on the results of inspection of the Company activities in 2024. 3. Approval of Report on corporate governance for 2024. 4. Approval of the audited financial statements for the financial year 2024 and the distribution of after-tax profit for 2024 according to audit results. 5. Approval of Remuneration, bonuses, and other benefits for the Board of Directors and the Board of Supervisors in 2024. 6. Approval of Business plan for 2025, profit distribution, and remuneration for the Board of Directors and Board of Supervisors in 2025. 7. Selection of International Auditing Company Limited (iCPA) as the auditing firm for the financial year 2025.
2	30/NQ-ĐHĐCĐ		Dismissal of the Board of Directors and Board of Supervisors of the term 2020 – 2025 and election of the Board of Directors and Board of Supervisors for the term 2025 - 2030
3	31/NQ-ĐHĐCĐ		Approval for Ho Chi Minh City Infrastructure Investment Joint Stock Company to cease the transfer of remaining shares of Nam Bay Bay Investment Corporation to CII Trading and Investment One Member Limited Liability Company (pursuant to Resolution of General Meeting of Shareholders No. 90/NQ-ĐHĐCĐ on 11/12/2024)
4	32/NQ-ĐHĐCĐ		Approval for CII Trading and Investment One Member Limited Liability Company to purchase shares of Nam Bay Bay Investment Corporation from Thép My Service and Trading Company Limited without conducting mandatory public offering procedures
5	33/NQ-ĐHĐCĐ		Approval for Nam Bay Bay Investment Corporation and its subsidiaries to write off bad debts that have been provisioned for in financial reserves

No.	Resolution/Decision No.	Date	Content
6	34/NQ-ĐHĐCĐ		Approval of amendments to the Charter, the Internal Regulations on Corporate Governance, and the Operating Regulations of the Board of Directors of Nam Bay Bay Investment Corporation

II. BOARD OF DIRECTORS (First 6 months of 2025)

1. Information about the members in Board of Directors:

No.	Member of Board of Directors	Position	Date of appointment/dismissal as member of Board of Directors/ Independent member of Board of Directors	
			Date of appointment	Date of dismissal
1	Mr. Luu Hai Ca	Chairman of the Board of Directors – Non-executive Board Member	12/05/2020	
2	Mr. Le Quoc Binh	Permanent Vice Chairman of the Board of Directors – Non-executive Board Member	30/07/2020	
3	Ms. Nguyen Quynh Huong	Non-executive Board Member	12/05/2020	
4	Mr. Nguyen Van Chinh	Independent Board Member	12/05/2020	
5	Mr. Pham Thanh Vu	Former Independent Board Member	14/12/2021	29/04/2025
6	Mr. Nguyen Ba Lan	Board Member and General Director	14/12/2021	

2. Meetings of the Board of Directors:

No.	Member of Board of Directors	Number of meetings attended by board members (In-person meetings and written opinions)	Attendance Rate	Reasons for absence
1	Mr. Luu Hai Ca	12/12	100%	
2	Mr. Le Quoc Binh	12/12	100%	
3	Ms. Nguyen Quynh Huong	12/12	100%	
4	Mr. Nguyen Van Chinh	12/12	100%	
5	Mr. Nguyen Ba Lan	12/12	100%	
6	Mr. Pham Thanh Vu	7/12	58%	Dismissed on 29/04/2025 due to term completion and not re-elected

3. Monitoring activities of Board of Directors to Board of Management:

The Board of Directors' supervision of the Board of Management is conducted regularly and thoroughly through direct management of the Chairman and other Board members as follows:

- Directed the Board of Management and related departments to successfully organize the Annual General Meeting of Shareholders for the financial year 2024 on April 29, 2025.
- Organized meetings to discuss and unify policies within the Board's authority and responsibilities, directing the Board of Management and related departments to implement policies in accordance with the Company Charter and legal regulations.

4. Activities of Board Committees belonged to Board of Directors (if any): None

5. Resolutions/ Decisions of the Board of Directors (First 6 months of 2025):

No.	Resolution/ Decision No.	Date	Content	Approval Rate
1	05/NQ-HĐQT	12/02/2025	Resolution on approving adjustment of Total Investment Capital for Son Tinh Residential Project – Quang Ngai	6/6
2	09/NQ-HĐQT	04/03/2025	Resolution on convening the Annual General Meeting of Shareholders for the financial year 2024	6/6
3	13/NQ-HĐQT	17/03/2025	Resolution on approving investment cooperation agreement with CII Trading and Investment One Member Limited Liability Company	3/3
4	17/NQ-HĐQT	08/04/2025	Resolution on approving contents to be submitted to Annual General Meeting of Shareholders for the financial year 2024	6/6
5	21/NQ-HĐQT	09/04/2025	Resolution on approving loan and mortgage at Tien Phong Commercial Joint Stock Bank	6/6
6	23/NQ-HĐQT	09/04/2025	Resolution on approving commitments to secure the credit obligations of Nam Bay Bay Investment Corporation at Tien Phong Commercial Joint Stock Bank	6/6
7	27/NQ-HĐQT	24/04/2025	Resolution on approving additional contents to the agenda of Annual General Meeting of Shareholders for the financial year 2024	6/6
8	36/NQ-HĐQT	29/04/2025	Resolution on electing Chairman of Board of Directors	5/5
9	37/NQ-HĐQT	29/04/2025	Resolution on electing Standing Vice Chairman of Board of Directors	5/5
10	41/QĐ-HĐQT	03/06/2025	Decision on dismissal of Deputy Director of Nam Bay Bay Investment Corporation - Quang Ngai Branch	5/5
11	45/NQ-HĐQT	03/06/2025	Resolution on approving collection of shareholders' written opinions	5/5
12	50/NQ-HĐQT	23/06/2025	Resolution on approving business cooperation agreement with CII Engineering and Construction Joint Stock Company	2/2
13	56/QĐ-HĐQT	30/06/2025	Decision on dismissal of Company Secretary cum Corporate Governance Officer	5/5
14	57/QĐ-HĐQT	30/06/2025	Decision on appointment of Company Secretary cum Corporate Governance Officer	5/5
15	58/QĐ-HĐQT	30/06/2025	Decision on appointment of Internal Audit Committee member under Board of Directors	5/5

III. BOARD OF SUPERVISORS (First 6 months of 2025)

1. Information about members in Board of Supervisors:

No.	Members of Board of Supervisors	Position	Date of appointment/ dismissal as member of Board of Supervisors	
			Date of appointment	Date of dismissal
1	Ms. Duong Quynh Diep	Head of Board of Supervisors	12/05/2020	
2	Mr. Le Trung Hieu	Member of Board of Supervisors	12/05/2020	
3	Ms. Le Thi Kieu Diem	Member of Board of Supervisors	12/05/2020	

2. Meetings of the Board of Supervisors:

No.	Member of Board of Supervisors	Attended Meetings	Attendance Rate	Voting rate	Reasons for absence
1	Ms. Duong Quynh Diep	1/1	100%	3/3	
2	Mr. Le Trung Hieu	1/1	100%	3/3	
3	Ms. Le Thi Kieu Diem	1/1	100%	3/3	

3. Supervision activities of the Board of Supervisors to Board of Directors, Board of Management and shareholders:

Board of Supervisors has implemented following tasks:

- Reviewed transparency of information disclosure to ensure investors' interests
- Propose, contribute opinions to the Board of Directors, Board of Management to ensure the sustainable development and protect shareholders' rights and interests

4. Coordination of activities between the Board of Supervisors and Board of Directors, Board of Management and other managers:

During the first 6 months of 2025, Supervisory Board representatives fully participated in Board meetings to contribute opinions on Company policies and business operations.

5. Others activity of the Board of Supervisors (If any): None**IV. BOARD OF MANAGEMENT (First 6 months of 2025):**

No.	Member of Board of Management	Date of birth	Qualifications	Date of appointment/dismissal as members of Board of Management
1	Mr. Nguyen Ba Lan – General Director	15/11/1981	Master of Civil Engineering	Appointed on 27/09/2021
2	Mr. Nguyen Quy Binh – Deputy General Director	05/02/1976	Bachelor of Laws	Appointed on 06/01/2020
3	Mr. Nguyen Van Ty - Deputy General Director	11/11/1985	Bridge and Road Construction Engineer	Appointed on 09/05/2023
4	Mr. Truong Le Duy - Deputy General Director	11/12/1993	Master's degree	Appointed on 14/07/2024

V. CHIEF ACCOUNTANT (First 6 months of 2025):

Name	Date of birth	Qualification	Date of appointment/dismissal
Mr. Nguyen Van Minh	25/02/1988	Bachelor of Economics	Appointed on 12/05/2020

VI. TRAINING ON CORPORATE GOVERNANCE:

During the first 6 months of 2025, members in the Board of Directors, the Board of Supervisors, the General Director, other managers and the Company secretary have not participated in corporate governance training courses.

VII. LIST OF AFFILIATED PERSONS OF THE PUBLIC COMPANY (FIRST 6 MONTHS OF 2025) AND TRANSACTIONS OF AFFILIATED PERSONS OF THE COMPANY:**1. List of affiliated persons of the Company: Appendix I**

2. Transactions between the company and affiliated persons of the company; or between the company and major shareholders, internal persons, and affiliated persons of internal persons:

No	Name of organization/ Individual	Relationship with the Company	NSH* Certificate No., Date of issue, Place of issue	Head office address/ Address	Time of transaction with the Company	Resolution/Decision No. approved by the General Meeting of Shareholders/Board of Directors ... (if any, specify the date of issue)	Content, Quantity, Total transaction value	Note
1	CII Trading and Investment One Member Co., Ltd. (CII Invest)	Major shareholder	0312919832 Date of issue: 09/09/2014 Place of issue: Ho Chi Minh City Department of Planning and Investment	12th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	11/02/2025	Board of Directors Resolution No. 13/NQ-HĐQT on 17/03/2025	Business Cooperation Contract Transaction value: 650 billion VND	
2	CII Engineering and Construction Joint Stock Company (CII E&C)	Subsidiary of major shareholder	0304200346 Date of issue 19/01/2006 Place of issue: Department of Planning and Investment of Ho Chi Minh City	CII TOWER, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	18/06/2025	Board of Directors Resolution No. 50/NQ-HĐQT on 23/06/2025	Business Cooperation Contract Transaction value: 200 billion VND	

3. Transactions between company internal persons, affiliated persons of internal persons and subsidiaries, companies controlled by the listed company: None

4. Transactions between the company and other entities:

4.1 Transactions between the company and companies in which members of the Board of Directors, members of the Board of Supervisors, General Director and other managers have been and are founding members or members of the Board of Directors, General Director within the past three (03) years (calculated at the time of reporting):

No.	Stakeholders	Transaction content	Relationship
1	CII Trading and Investment One Member Co., Ltd. (CII Invest)	Business cooperation contract	Mr. Truong Le Duy - Deputy General Director of NBB Company and General Director of CII Invest Company.
2	CII Engineering and Construction Joint Stock Company (CII E&C)	Business cooperation contract	Mr. Luu Hai Ca - Chairman of the Board of Directors of NBB Company and Member of the Board of Directors of CII Company. Mr. Nguyen Van Ty - Deputy General Director of NBB Company and Member of Board of Director of CII E&C Company.

- 4.2 *Transactions between the company and companies in which affiliated persons of members of the Board of Directors, members of the Board of Supervisors, and General Director are members of the Board of Directors or General Director: None.*
- 4.3 *Other transactions of the company (if any) that may bring material or immaterial benefits to members of the Board of Directors, members of the Board of Supervisors, and General Director: None.*

VIII. LIST OF AFFILIATED PERSONS OF THE PUBLIC COMPANY (FIRST 6 MONTH YEAR 2025) AND TRANSACTIONS OF AFFILIATED PERSONS OF THE COMPANY:

- 1. List of internal persons and affiliated persons of internal persons: Appendix II**
- 2. Transactions of internal person and affiliated persons on shares of listed companies: None**

IX. OTHER ISSUES TO NOTE: (None)

Recipients:

- As above;
- Archived to the Board of Directors.

**On behalf of BOARD OF DIRECTORS
CHAIRMAN OF BOARD OF DIRECTORS**

(Signed)

Luu Hai Ca

Appendix I

List of affiliated persons of the Company

No.	Name of organization/ Individual	Securities trading account (If any)	Position at the Company	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person/ internal person	Time of ending to be affiliated person/ internal person	Reasons (when changes arise related to item 13 and item 14)	Relationship with the internal person
1	2	3	4	5	6	7	8	9	10	11	12
1	Luu Hai Ca		Chairman of Board of Director					29/04/2025		Appointed according to the Resolution of the AGM of Shareholders for the financial year 2024	
2	Le Quoc Binh		Standing Vice Chairman of the Board of Director					29/04/2025		Appointed according to the Resolution of the AGM of Shareholders for the financial year 2024	
3	Nguyen Quynh Huong		Non-excutive Board Member					29/04/2025		Appointed according to the Resolution of the AGM of Shareholders for the financial year 2024	
4	Nguyen Ba Lan		Board Member and CEO					Appointed as CEO on September 27, 2021 and elected as a Member of the Board of Directors on 29/04/2025		Appointed according to the Resolution of the AGM of Shareholders for the financial year 2024	

No.	Name of organization/ Individual	Securities trading account (If any)	Position at the Company	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person/ internal person	Time of ending to be affiliated person/ internal person	Reasons (when changes arise related to item 13 and item 14)	Relationship with the internal person
1	2	3	4	5	6	7	8	9	10	11	12
5	Nguyen Van Chinh		Independent Board Member					29/04/2025		Appointed according to the Resolution of the AGM of Shareholders for the financial year 2024	
6	Pham Thanh Vu		Former Independent Board Member						29/04/2025	Dismissed on 29/04/2025 due to term completion and not re-elected	
7	Duong Quynh Diep		Head of Board of Supervisors					29/04/2025		Appointed according to the Resolution of the AGM of Shareholders for the financial year 2024	
8	Le Trung Hieu		Member of Board of Supervisors					29/04/2025		Appointed according to the Resolution of the AGM of Shareholders for the financial year 2024	
9	Le Thi Kieu Diem		Member of Board of Supervisors					29/04/2025		Appointed according to the Resolution of the AGM of	

No.	Name of organization/ Individual	Securities trading account (If any)	Position at the Company	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person/ internal person	Time of ending to be affiliated person/ internal person	Reasons (when changes arise related to item 13 and item 14)	Relationship with the internal person
1	2	3	4	5	6	7	8	9	10	11	12
										Shareholders for the financial year 2024	
10	Nguyen Quy Binh		Deputy General Director					06/01/2020		Appointed on 06/01/2020	Member of Executive Board
11	Nguyen Van Ty		Deputy General Director					09/05/2023		Appointed on 09/05/2023	Member of Executive Board
12	Truong Le Duy		Deputy General Director					14/07/2024		Appointed on 14/07/2024	Member of Executive Board
13	Nguyen Van Minh		Chief Accountant					12/05/2020		Appointed on 12/05/2020	Member of Executive Board
14	Pham Phuc Loc		Company Secretary (Corporate Governance Officer)					08/06/2022		Appointed on 08/06/2022	
15	Do Xuan Chinh		Person in charge of Internal Audit Department							Appointed on 08/06/2022	
16	Hung Thanh Construction - Trading - Service - Production Company Limited			0302232310	01/03/2001	Ho Chi Minh City Department of Planning and Investment	City Gate Towers Office Building, 15 Vo Van Kiet, Ward 16, District 8, HCMC	29/06/2007			Subsidiary

No.	Name of organization/ Individual	Securities trading account (If any)	Position at the Company	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person/ internal person	Time of ending to be affiliated person/ internal person	Reasons (when changes arise related to item 13 and item 14)	Relationship with the internal person
1	2	3	4	5	6	7	8	9	10	11	12
17	NBB Quang Ngai Company Limited			4300319588	30/07/2003	Quang Ngai Department of Planning and Investment	Street No. 1, Son Tinh Residential Area, Truong Quang Trong Ward, Quang Ngai Province, Vietnam	07/07/2023			Subsidiary
18	Ho Chi Minh City Infrastructure Investment Joint Stock Company			0302483177	24/12/2001	Ho Chi Minh City Department of Planning and Investment	12th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	25/09/2014			Major shareholders holding more than 10% of shares
19	CII Trading and Investment One Member Co., Ltd. (CII Invest)			0312919832	09/09/2014	Ho Chi Minh City Department of Planning and Investment	12th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	05/11/2024			Major shareholders holding more than 10% of shares

Appendix II

List of internal persons and affiliated persons of internal persons

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
1	Luu Hai Ca		Chairman of the Board of Director							
	Nguyen Thi Huyen Suong									Wife
	Nguyen Thi Tien									Mother
	Luu Thi Hoi									Sibling
	Luu Van Cam									Sibling
	Luu Cung Thi									Sibling
	Luu Trong Vu									Sibling

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Tran Thong									Brother in law
	Do Thi Nguyet									Sister in law
	Nguyen Thi Xuan									Sister in law
	Nguyen Tan Thanh									Father in law
	Luu Nguyen Bao Thu									Daughter
	Luu Nguyen Bao Tran									Daughter
	Luu Nguyen Bao Chau									Daughter
	CII Engineering and Construction Joint Stock Company (CII E&C)			0304200346	19/01/2006	Ho Chi Minh City Department of Planning and Investment	CII Tower, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC			Chairman of Board of Directors

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
2	Le Quoc Binh		Standing Vice Chairman of the Board of Director							
	Le Thi Lien									Mother
	Pham Thi Thuy Hang									Wife
	Le Pham Quoc Cuong									Son
	Le Pham Quoc Thanh									Son

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Huynh Linh Dan									Daughter in law
	Le Quang Phuc									Sibling
	Le Thi Phuong Thao									Sibling
	Le Minh Tri									Sibling
	Le Minh Chau									Sibling
	Truong Dinh Tam									Brother in law
	Chau Thi Ngoc Huong									Sister in law

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Than Thi Thu Trang									Sister in law
	Ho Thi To Anh									Sister in law
	Le Thi Le Huyen									Sister in law
	Ho Chi Minh City Infrastructure Investment Joint Stock Company (CII)			0302483177	24/12/2001	Ho Chi Minh City Department of Planning and Investment	12th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	26.474.332	26,35%	Member of Board of Directors and General Director
	CII Bridges and Roads Investment Joint Stock Company			0300482241	08/03/2000	Ho Chi Minh City Department of Planning and Investment	477-479 An Duong Vuong, Ward 11, District 6, HCMC			Member of Board of Directors

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Khu Bac Thu Thiem One Member Company Limited (KBTT)			0313596380	31/12/2015	Ho Chi Minh City Department of Planning and Investment	11th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC			Chairman of Member Council
3	Nguyen Quynh Huong		Non-executive Board Member					19.200	0,02%	
	Nguyen Huy Tam									Father
	Do Thi Khanh Hoa									Mother
	Nguyen Huy Tung									Brother
	To Yen Ngoc									Sister in law
	Nguyen Phu Dong									Son
	Ho Chi Minh City Infrastructure Investment Joint Stock Company (CII)			0302483177	24/12/2001	Ho Chi Minh City Department of Planning and Investment	12th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	26.474.332	26,35%	Deputy General Director

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
4	Nguyen Ba Lan		Member of the Board of Director and General Director							
	Nguyen Trung									Father
	Pham Thi Thao Hien									Wife
	Nguyen Ba Linh									Sibling
	Nguyen Ba Long									Sibling
	Nguyen Thi Phuong Uyen									Sibling

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Nguyen Thi Phuong Uyen									Sibling
	Nguyen Hai Bao Quoc									Brother in law
	Vo Quoc Thuan									Brother in law
	Vo Thi Loan									Sister in law
	Le Trong Anh Thu									Sister in law
5	Nguyen Van Chinh		Independent Board Member							
	Nguyen Van Van									Father
	Tran Thi Phit									Mother

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Huynh Thi Nhu Pham									Wife
	Nguyen Tien Khang									Son
	Nguyen Tien Thinh									Son
	Nguyen Van Dang									Sibling
	Nguyen Thi Hien									Sibling
	Vo Thi Xuan Thu									Sister in law
	Tran Huu Cong									Brother in law
	Huynh Chung									Father in law

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Tran Thi Hong									Mother in law
	CII Bridges and Roads Investment Joint Stock Company			0300482241	08/03/2000	Ho Chi Minh City Department of Planning and Investment	477-479 An Duong Vuong, Ward 11, District 6, HCMC			Member of Board of Directors and General Director
	Co Chien Investment Co., Ltd			0312432358	26/08/2013	Ho Chi Minh City Department of Planning and Investment	477-479 An Duong Vuong, Ward 11, District 6, HCMC			Chairman of Member Council
	Ninh Thuan Construction Investment and Development Joint Stock Company			0306410116	09/12/2008	Ho Chi Minh City Department of Planning and Investment	4th Floor, 70 Lu Gia Street, Ward 15, District 11, HCMC			Chairman of the Board of Directors
	Ninh Thuan Province BOT Co., Ltd			0312869740	25/07/2014	Ho Chi Minh City Department of Planning and Investment	477-479 An Duong Vuong, Ward 11, District 6, HCMC			Chairman
	VRG Infrastructure Investment One Member Co., Ltd			3700620195	15/02/2005	Binh Duong Province Department of Planning and Investment	Land plot 424, map sheet 25, Bo La Hamlet, Phuoc Hoa Commune, Phu Giao District, Binh Duong Province			Vice Chairman
	Trung Luong – My Thuan BOT Joint Stock Company			0313222392	21/04/2015	Ho Chi Minh City Department of Planning and Investment	32 Thach Thi Thanh, Tan Dinh Ward, District 1, HCMC			Member of Board of Directors

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1	2	3		4	5	6	7	8	9	10
6	Pham Thanh Vu		Former Independent Board Member							
	Phung The Ngoc									Wife
	Pham Mai Anh									Son
	Pham Quoc Anh									Father
	Ho Thi Dung									Mother
	Pham An Diep									Sibling
	Nguyen Tien Cang									Brother in law
	Pham Quynh Trang									Sister
	Ngo Cao Cuong									Brother in law

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Phung Tu Cuong									Father in law
	Kieu Thi Hong									Mother in law
	Binh Duong Water – Environment Corporation – Joint Stock Company			3700145694	07/02/2006	Department of Planning and Investment of Binh Duong Province	No. 11, Ngo Van Tri, Phu Loi Ward, Thu Dau Mot City, Binh Duong Province			Member of Board of Directors
7	Duong Quynh Diep		Head of Board of Supervisors							
	Duong Van Nhan									Father
	Nguyen Thi Lam									Mother
	Nguyen Danh Cong									Husband
	Duong Quynh Mai									Sibling
	Duong Tung Son									Sibling
	Nguyen Thanh Thuy									Mother in law

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Nguyen Danh Thanh									Father in law
	Nguyen Quynh Chi									Daughter
	Nguyen Quynh Thu									Daughter
	Ho Chi Minh City Infrastructure Investment Joint Stock Company (CII)			0302483177	24/12/2001	Ho Chi Minh City Department of Planning and Investment	12th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	26.474.332	26,35%	Deputy Director of Capital Management
	Mekong – Mỹ Tho Mekong – My Tho Tourism Joint Stock Company			1200655742	16/05/2007	Department of Planning and Investment of Tien Giang Province	No. 01A, Tet Mau Than, Ward 4, My Tho City, Tien Giang Province			Member of Board of Directors

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
8	Le Trung Hieu		Member of Board of Supervisors							
	Nguyen Hoang Bich Ngoc									Wife
	Le Huy Chuc									Father
	Pham Thi Ai									Mother
	Le Thanh Trung									Sibling
	Nguyen Hoai Anh Dao									Sister in law
	Le Nguyen Khanh Linh									Daughter
	Ho Chi Minh City Infrastructure Investment Joint Stock Company (CII)			0302483177	24/12/2001	Department of Planning and Investment of Ho Chi Minh City	12th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	26.474.332	26,35%	Director of Capital Management

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
9	Le Thi Kieu Diem		Member of Board of Supervisors							
	Le Thanh Danh									Father
	Huynh Thi Con									Mother
	Le Thi Thu Hoa									Sibling
	Le Thi Thu Nhi									Sibling
	Le Huynh Duy									Sibling
	Le Bao Thach									Husband
	Le Quoc Bao									Brother in law

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
10	Nguyen Quy Binh		Deputy General Director							
	Nguyen Van Anh									Father
	Nguyen Thi Phuong									Mother
	Nguyen Viet Chu									Sibling
	Nguyen Thi Nhung									Sister in law
	Nguyen Mac Thuy									Sibling
	Thai Van Lau									Brother in law
	Nguyen Mac Van									Sibling
	Le Binh									Brother in law

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Nguyen Quoc Dinh									Sibling
	Pham Thi Diep Anh									Sister in law
	Nguyen Thi Thanh Thuy									Wife
	Nguyen Ha Quyen									Daughter
	Nguyen Nam Quang									Son
11	Nguyen Van Ty		Deputy General Director							
	Dieu Van Anh									Wife
	Nguyen Minh Hang									Daughter
	Nguyen Van Em									Father

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Duong Thi Sau									Mother
	Nguyen Van Thanh									Sibling
	Nguyen Thi Hanh									Sibling
	Nguyen Van Phuc									Sibling
	Trinh Thi Can									Mother in law
	CII Engineering and Construction Joint Stock Company (CII E&C)			0304200346	19/01/2006	Department of Planning and Investment of Ho Chi Minh City	CII TOWER Building, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC			Member of Board of Directors

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
12	Truong Le Duy		Deputy General Director							
	Huynh Thi Thuy Na									Wife
	Truong Dinh Tam									Father
	Le Thi Phuong Thao									Mother
	Truong Le Duong Cam									Sibling
	Truong An Chi									Daughter

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Truong An Di									Daughter
	Huynh Van No									Father in law
	CII Trading and Investment One Member Co., Ltd. (CII Invest)			0312919832	09/09/2014	Department of Planning and Investment of Ho Chi Minh City	12th Floor, 152 Dien Bien Phu, Ward 25, Binh Thanh District, HCMC	48.545.736	48,32%	General Director
13	Nguyen Van Minh		Chief Accountant							
	Nguyen Khac Hai									Father
	Mai Thi Xinh									Mother
	Le Thi Kim Tien									Wife
	Nguyen Thi Mai Thao									Sibling

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Nguyen Van Linh									Sibling
	Nguyen Le Bao Tram									Daughter
	Nguyen Le Bao Vy									Daughter
14	Pham Phuc Loc		Company Secretary							
	Pham Tan An									Father
	Nguyen Thi Thanh Thuy									Mother
	Truong Quang Toan									Father in law
	Nguyen Thi Sinh									Mother in law
	Truong Thi Thu Huyen									Wife
	Pham Phuc Toan									Sibling

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	Pham Minh Anh									Daughter
15	Do Xuan Chinh		Person in charge of Internal Audit Department							
	Ngo Thi Dao									Wife
	Do Quoc Ai									Sibling
	Do Thi Duc									Sibling
	Vu Thi Nhan									Sister in law
	Nguyen Thi Hop									Sister in law
	Do Van Chinh									Sibling
	Do Xuan Chinh									Sibling
	Vu Thi Thanh My									Sister in law

No.	Full Name	Securities trading account (if any)	Position in the company (if any)	NSH certificate No.	Date of issuing NSH certificate	Place of issue	Address	Number of shares owned at the end of the period	End of period share ownership rate	Note
1	2	3		4	5	6	7	8	9	10
	La Thi Phuong									Sister in law
	Do Xuan Truong									Son
	Do Thi My Linh									Daughter
	Nghiem Thi Anh									Daughter in law